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Investment Management Regulation, Fourth Edition

Tamar Frankel
Investment companies have become an important part of the financial system. This case book is designed to familiarize students with the special laws governing investment companies: their creation, structure, corporate governance, operations (including the distribution of shares and the management of the portfolios), dissolution and, time permitting, taxation. In particular, the case book focuses on the Investment Company Act of 1940 and on the practice in this area before the Securities and Exchange Commission.

### Book Information

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### Customer Reviews

This is probably the worst textbook I've seen in law school. The sections are organized-- wait, no-- they're not organized at all, really. The book seems to have been sloppily edited-- there are typos on every page. Cases and new documents appear randomly, and are not clearly marked, so you're never really sure what you're reading. As my professor said, "This takes a somewhat-interesting topic and turns it into the worst 25 pages of your life." (He was talking about our assignments for each class, but the statement should be applied to the book as a whole, I think.)

The book provided some introduction to the field, but contained many errors and nonsensical explanations. I don’t know about available alternatives, however.

Pros:- Gives a basic insight into the workings of investment companies- Contains a number of key supreme court and district court cases- Discussion questions at the end of chapters are
usefulCons:- Formatting: random changes in font, headings and subheadings are barely distinguishable from body text, several typos- Organization: the book reads like a stream of consciousness rather than an academic text, headings and subheadings don't make a whole lot of sense, overall the book is an extremely unpleasant read

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